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February 25, 2004

ATTORNEY DOCKET NO. 5997.0036
CUSTOMER NO. 22,852

22387 U.S. PTO
10/785415



Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

New U.S. Patent Application
Title: SYSTEMS AND METHODS FOR ISSUING
SECURITIES ON TAX-EXEMPT BONDS
BASED ON A SINGLE TRUST
Inventor: Clayton A. DAVIS

Sir:

We enclose the following papers for filing in the United States Patent and Trademark Office in connection with the above patent application.

1. Application - cover page and 32 pages, including 13 independent claims and 23 claims total.
2. Drawings - 7 sheets of drawings (Figures 1-7).
3. Request for Non-Publication of Application and Certification Under 35 U.S.C. § 122(b)(2)(B)(i).

Applicant claims the right to priority based on Provisional Patent Application No. 60/449,578 filed February 26, 2003.

Commissioner for Patents
February 25, 2004
Page 2

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GARRETT &
DUNNER ^{LLP}

Please address all correspondence with respect to this application to:


Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, D.C. 20005-3315

This application will be completed in accordance with 37 C.F.R. § 1.53(f) upon receiving a Notice to File Missing Parts of Application.

Please accord this application an application number and filing date.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 
Pedro F. Suarez
Reg. No. 45,895

PFS/bad
Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-----------------------------------|---|----------------------------------|
| In re Application of: |) | |
| |) | |
| Clayton A. DAVIS |) | |
| |) | |
| Application No.: Not Yet Assigned |) | Group Art Unit: Not Yet Assigned |
| |) | |
| Filed: February 25, 2004 |) | Examiner: Not Yet Assigned |
| |) | |
| For: SYSTEMS AND METHODS FOR |) | |
| ISSUING SECURITIES ON TAX- |) | |
| EXEMPT BONDS BASED ON A |) | |
| SINGLE TRUST |) | |

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**REQUEST FOR NON-PUBLICATION OF APPLICATION AND
CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)**

As an authorized agent of the above-identified Applicant, the undersigned attorney hereby certifies that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

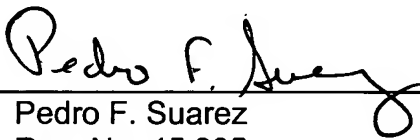
I further understand that this non-publication request may be rescinded at any time and if so, the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

I further understand that if Applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the United States Patent and Trademark Office must be notified of such filing within forty-five (45) days after the date of the filing of such foreign or international application. I also understand that failure by Applicant to so notify the Patent and Trademark Office will result in abandonment of this application.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: February 25, 2004

By: 
Pedro F. Suarez
Reg. No. 45,895